

# STATE OF THE ART ON EQUIANGULAR LINES

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Definition: A set of lines passing through the origin is called equiangular, if every pair of lines make the same angle.

Question: Determine N(r), the maximum number of equiangular lines in  $\mathbb{R}^r$ .

#### **Connections:**

- Elliptic geometry
- Frame theory
- Theory of polytopes
- Banach space theory
- Spectral graph theory
- Algebraic number theory
- Quantum information theory

#### **Earliest work:**

Haantjes, Seidel 47-48

Blumenthal 49

Van Lint, Seidel 66

Lemmens, Seidel 73

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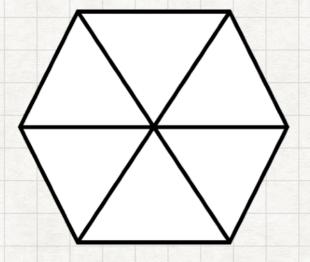
### Examples

r = 2: Regular Hexagon

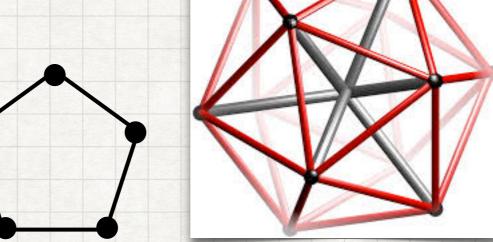
r = 3:

Regular Icosahedron

3 lines



6 lines



r = 7:

28 lines

Take all 28

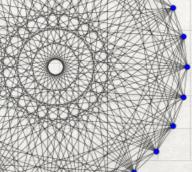
permutations of the

vector

$$(3,3,-1,-1,-1,-1,-1).$$

r = 23:

276 lines



Schläfli Graph (E8 lattice) McLaughlin Graph (Leech lattice) Theorem[Absolute bound] (Gerzon 73):  $N(r) \leq {r+1 \choose 2}$ .

Proof: Let  $v_1, \ldots, v_n$  be unit vectors along the given lines. Then  $\langle v_i, v_j \rangle = \pm \alpha$  for some  $0 \le \alpha < 1$ .

Consider the matrices  $v_1v_1^{\mathsf{T}},\ldots,v_nv_n^{\mathsf{T}}$ . They live in the space of symmetric  $r\times r$  matrices, which has dimension  $\binom{r+1}{2}$ .

Recalling the Frobenius inner product of matrices

$$\langle A, B \rangle_F = \operatorname{tr}(A^{\mathsf{T}}B) = \sum_{i,j} A_{i,j} B_{i,j}$$

we have  $\left\langle v_i v_i^\intercal, v_j v_j^\intercal \right\rangle_F = \operatorname{tr}(v_i v_i^\intercal v_j v_j^\intercal) = (v_i^\intercal v_j)^2 = \begin{cases} 1 & i = j \\ \alpha^2 & i \neq j \end{cases}$ .

Hence they are linearly independent.

### What is known?

Theorem[Absolute bound] (Gerzon 73):  $N(r) \leq {r+1 \choose 2}$ .

- tight in dimension 2,3, 7 and 23. No other cases of equality are known.

Theorem (de Caen 00):  $N(r) \geq \Omega(r^2)$ .

Question (Lemmens, Seidel 73):

Determine  $N_{\alpha}(r)$ , the maximum number of equiangular lines in  $\mathbb{R}^r$  with common angle  $\arccos(\alpha)$ , especially when  $\alpha = 1/3, 1/5, 1/7, \ldots$ 

Theorem (Neumann 73): If  $N_{lpha}(r)>2r$  then  $\frac{1}{2}\left(\frac{1}{lpha}-1
ight)\in\mathbb{N}$ .

Fact:  $N_{\alpha}(r) \geq r$  .

Theorem[Relative Bound] (Lemmens, Seidel 73):  $N_{\alpha}(r) \leq r \frac{1-\alpha^2}{1-r\alpha^2}$  for all  $r \leq 1/\alpha^2 - 2$ .

### Recent progress

Theorem (B., Dräxler, Keevash, Sudakov 17):  $N_{\alpha}(r) \leq 2r-2$  if r is exponentially large in  $1/\alpha^2$ , with equality if and only if  $\alpha=1/3$ .

**Theorem** (Jiang, Tidor, Yao, Zhang, Zhao 19): Let  $k_{\alpha}$  be the minimum number of vertices in a graph with spectral radius  $\frac{1}{2}\left(\frac{1}{\alpha}-1\right)$ . If r is doubly exponentially large in  $k_{\alpha}/\alpha$ , then

$$N_{\alpha}(r) = \left\lfloor \frac{r-1}{1-1/k_{\alpha}} \right\rfloor$$
.

Question: What about for  $1/\alpha^2 - 2 \le r \le O(2^{1/\alpha^2})$ ?

Theorem (Yu 17):  $N_{\alpha}(r) \leq {1/\alpha^2-1 \choose 2}$  for  $1/\alpha^2-2 \leq r \leq 3/\alpha^2-16$ .

Theorem (Glazyrin, Yu 18):  $N_{\alpha}(r) \leq O(r/\alpha^2)$  for all  $\alpha \leq \frac{1}{3}$ .

### New results

Theorem(B.): 
$$N_{\alpha}(r) \leq \max\left(\binom{1/\alpha^2-1}{2}, 2r-2\right)$$
 for  $\alpha \leq 1/3$ .

always equality when this term is bigger!

Conjecture(B.): 
$$N_{\alpha}(r) \leq \max\left(\binom{1/\alpha^2-1}{2}, \left\lfloor \frac{r-1}{1-1/k_{\alpha}} \right\rfloor\right)$$
.

- verified for  $\alpha=1/3$  by Lemmens and Seidel in 1973 and for  $\alpha=1/5$  by Cao, Koolen, Lin, and Yu in 2022 (building on the work of Neumaier)

Theorem(B., Bucić 24): If lpha o 0 and  $r \ge 1/lpha^{\omega(1)}$ , then  $N_lpha(r) = (1+o(1))r.$ 

Theorem(B., Bucić 24): For any positive integer k, if r is exponentially large in  $k^{20}$ , then

$$N_{\frac{1}{2k-1}}(r) = \left| \frac{r-1}{1-1/k} \right|.$$

# New bounds for graph eigenvalues (of adjacency matrix)

Corollary(B.): Let G be a k-regular graph on n vertices with second and last eigenvalue  $\lambda_2, \lambda_n$ . If  $k - \lambda_2 \ll n$ , then

$$\lambda_2 \ge (1 - o(1))k^{1/3}$$
 and  $\lambda_2 \ge (1 - o(1))\sqrt{-\lambda_n}$ .

Theorem(B.): If  $k-\lambda_2<\frac{n}{2}$ , then

$$2\left(k - \frac{(k - \lambda_2)^2}{n}\right) \le \frac{\lambda_2(\lambda_2 + 1)(2\lambda_2 + 1)}{1 - \frac{2(k - \lambda_2)}{n}} - \lambda_2(3\lambda_2 + 1),$$
$$-\lambda_n \le \frac{\lambda_2(\lambda_2 + 1)}{1 - \frac{2(k - \lambda_2)}{n}} - \lambda_2,$$

with equality in both whenever  $n+1=\binom{n-\operatorname{mult}(\lambda_2)+1}{2}$ , i.e. when G corresponds to a set of real equiangular lines meeting the absolute bound in dimension  $r=n-\operatorname{mult}(\lambda_2)$ .

# New bounds for graph eigenvalues

Theorem(B.): Let G be a k-regular graph on n vertices with second eigenvalue  $\lambda_2$  and let  $\varepsilon>0$  be fixed. Then

$$\lambda_2 \ge \begin{cases} \Omega\left(\sqrt{d}\right) & \text{if } 1 \le d \le n^{2/3} \\ \Omega\left(n/d\right) & \text{if } n^{2/3} < d \le n^{3/4} \\ \Omega\left(d^{1/3}\right) & \text{if } n^{3/4} < d \le (1/2 - \varepsilon)n. \end{cases}$$

Theorem(B., Bucić 24): Let G be a graph n on vertices with second eigenvalue  $\lambda_2$  and maximum degree  $\Delta \geq 2$ . Then the multiplicity of  $\lambda_2$  satisfies

$$m(\lambda_2) \le \max\left\{\frac{n}{\lambda_2^{1-o(1)}}, \frac{n}{(\log_{\Delta} n)^{1-o(1)}}\right\}.$$

Moreover, if  $\log_{\Delta} n \geq \lambda_2^{O(1)}$ , then  $m(\lambda_2) \leq \frac{n}{\lambda_2 + 1}$ .

Corollary(B.): Let G be a k-regular graph with second eigenvalue  $\lambda_2$ . If the spectral gap satisfies  $k-\lambda_2\ll n$ , then  $\lambda_2\geq (1-o(1))k^{1/3}$ .

Proof sketch: Starting with the adjacency matrix A, let  $\alpha=\frac{1}{2\lambda_2+1}$  and define  $M=(1-\alpha)I+\alpha J-2\alpha A$ .

Straightforward to check that M is positive semidefinite, so it is the Gram matrix of some unit vectors  $v_1, \ldots, v_n$ .

Orthogonally project  $\left(\sum_{j=1}^n v_j\right) v_1^\intercal + v_1 \left(\sum_{j=1}^n v_j\right)^\intercal$  onto the span of  $v_1 v_1^\intercal, \ldots, v_n v_n^\intercal$  (with respect to the Frobenius inner product).

The (Frobenius) norm of X can only decrease!

# Theorem(B.): Assuming lpha o 0, we have $N_lpha(r) \le \max\left({1/lpha^2-1 \choose 2}, (2+o(1))r ight)$ .

Proof sketch: Start with the Gram matrix M of the unit vectors  $v_1, \ldots, v_n$  spanning n lines. Consider its largest eigenvalue  $\lambda_1$ .

If  $\lambda_1 \leq \frac{1}{2} \left( \frac{1}{\alpha^2} - 1 \right)$ , then the first bound  $n \leq \binom{1/\alpha^2 - 1}{2}$  follows immediately from  $n(1 + \alpha^2(n-1)) = \operatorname{tr}(M^2) \leq \lambda_1 \operatorname{tr}(M) = \lambda_1 n$ .

Otherwise, we can assume that  $\lambda_1 > \frac{1}{2} \left( \frac{1}{\alpha^2} - 1 \right)$  and  $n \geq {1/\alpha^2 - 1 \choose 2}$ .

"Switching argument": negate some of the vectors so that the eigenvector x corresponding to  $\lambda_1$  has all nonnegative entries.

Consider the graph with vertices  $v_1, \ldots, v_n$  such that  $v_i v_j$  forms an edge if and only if  $\langle v_i, v_j \rangle = -\alpha$ .

Theorem(B.): Assuming lpha o 0, we have  $N_lpha(r) \le \max\left(\binom{1/lpha^2-1}{2}, (2+o(1))r\right)$  .

Fix i and project  $\left(\sum_{j=1}^n x(j)v_j\right)v_i^\intercal + v_i\left(\sum_{j=1}^n x(j)v_j\right)^\intercal$  onto the span of  $v_1v_1^\intercal,\ldots,v_nv_n^\intercal$  (with respect to the Frobenius inner product).

Since the (Frobenius) norm can only decrease, a calculation yields that the degree of  $v_i$  satisfies  $d(v_i) \leq O(1/\alpha^3)$ .

Using a variant of the usual Alon-Boppana theorem, we can bootstrap this bound to  $d(v_i)<\frac{1}{4\alpha^2}$  .

The second bound  $n \le (2+o(1))r$  then follows by applying the inequality  ${\rm tr}(H)^2 \le {\rm rk}(H){\rm tr}(H^2)$  with  $H=M-\alpha J$ .

Theorem[Relative Bound] (Lemmens, Seidel 73):  $N_{\alpha}(r) \leq r \frac{1-\alpha^2}{1-r\alpha^2}$  for all  $\alpha < \frac{1}{\sqrt{r}}$  .

**Proof idea:** Using the Frobenius inner product, orthogonally project the  $r \times r$  identity matrix I onto the span of  $v_1v_1^\intercal, \ldots, v_nv_n^\intercal$ . Its length decreases from r to  $\frac{n}{\alpha^2n+1-\alpha^2}$ .

**Proof:** Let  $\mathscr{W}:\mathbb{R}^n\to\mathbb{R}^{r\times r}$  be the linear map given by  $\mathscr{W}e_i=v_iv_i^\intercal$  (Can identify  $\mathscr{W}$  with the  $r^2\times n$  matrix whose ith column is an  $r^2$  length vectorized version of  $v_iv_i^\intercal$ )

**Definition:** Let  $\mathscr{W}^{\#}: \mathbb{R}^{r \times r} \to \mathbb{R}^{n}$  denote the adjoint map with respect to the Frobenius inner product ( $\langle M, \mathscr{W}v \rangle_{F} = \langle \mathscr{W}^{\#}M, v \rangle$  for all matrices  $M \in \mathbb{R}^{r \times r}$  and vectors  $v \in \mathbb{R}^{n}$ ). (Can identify  $\mathscr{W}^{\#}$  with the transpose of the matrix corresponding to  $\mathscr{W}$ .)

Theorem[Relative Bound] (Lemmens, Seidel 73):  $N_{\alpha}(r) \leq r \frac{1-\alpha^2}{1-r\alpha^2}$  for all  $\alpha < \frac{1}{\sqrt{r}}$  .

Proof(continued): Observe that  $\mathscr{P} = \mathscr{W}(\mathscr{W}^{\#}\mathscr{W})^{-1}\mathscr{W}^{\#}$  denotes the orthogonal projection onto the span of  $v_1v_1^{\intercal},\ldots,v_nv_n^{\intercal}$ . (Easy to verify that  $\mathscr{P}^2 = \mathscr{P} = \mathscr{P}^{\#}$  and  $\mathscr{P}\mathscr{W} = \mathscr{W}$ )

Now compute  $\langle I,I\rangle_F=\mathrm{tr}(I^\intercal I)=r$  and

$$\left\langle \mathscr{P}I,\mathscr{P}I\right\rangle _{F}=I^{\#}\mathscr{P}^{\#}\mathscr{P}I=I^{\#}\mathscr{P}I=I^{\#}\mathscr{W}(\mathscr{W}^{\#}\mathscr{W})^{-1}\mathscr{W}^{\#}I.$$

By definition,  $(\mathcal{W}^{\#}I)_i = \langle v_i v_i^\intercal, I \rangle_F = \operatorname{tr}(v_i v_i^\intercal I) = 1$  so  $\mathcal{W}^{\#}I = 1$ .

$$\begin{array}{l} \text{Moreover } (\mathscr{W}^\#\mathscr{W})_{i,j} = \left\langle v_i v_i^\mathsf{T}, v_j v_j^\mathsf{T} \right\rangle_F = \left\langle v_i, v_j \right\rangle^2 \text{ so that} \\ \mathscr{W}^\#\mathscr{W} = (1-\alpha^2)I + \alpha^2 J \text{ and } (\mathscr{W}^\#\mathscr{W})^{-1} = \frac{1}{1-\alpha^2} \left(I - \frac{1}{n+1/\alpha^2+1}J\right) \end{array}$$

Thus 
$$\langle \mathscr{P}I, \mathscr{P}I \rangle_F = \frac{1}{1-\alpha^2} \mathbb{1}^\intercal \left(I - \frac{1}{n+1/\alpha^2-1}J\right) \mathbb{1} = \frac{n}{\alpha^2 n + 1 - \alpha^2}.$$

# New results in the complex setting

Given a pair of complex lines  $U, V \subset \mathbb{C}^r$ , the quantity  $|\langle u, v \rangle|$  is the same for all unit vectors  $u \in U, v \in V$  and so  $\arccos |\langle u, v \rangle|$  is called the **Hermitian angle** between U and V.

We define  $N_{\alpha}^{\mathbb{C}}(r)$  to be the maximum number of complex equiangular lines in  $\mathbb{C}^r$  with common Hermitian angle  $\arccos(\alpha)$ .

Theorem[Absolute bound] (Delsarte, Goethals, Seidel 75):  $N_{lpha}^{\mathbb{C}}(r) \leq r^2$ .

Conjecture (Zauner 99): For each  $r \in \mathbb{N}$ ,  $\max_{\alpha} N_{\alpha}^{\mathbb{C}}(r) = r^2$  and a construction can be obtained as the orbit of a vector under the action of a Weyl-Heisenberg group.

Collections of  $r^2$  complex equiangular lines in  $\mathbb{C}^r$  are known as SIC-POVMs/SICs in quantum information theory.

# New results in the complex setting

Theorem[Relative Bound] (Delsarte, Goethals, Seidel 75):

$$N_{\alpha}^{\mathbb{C}}(r) \leq r \frac{1-\alpha^2}{1-r\alpha^2}$$
 for all  $r \leq 1/\alpha^2 - 1$ .

Theorem(B.): If  $r \leq \frac{1-o(1)}{\alpha^3}$ , then  $N_{\alpha}^{\mathbb{C}}(r) \leq \left(\frac{1}{\alpha^2} - 1\right)^2$ , with equality if and only if there exists a SIC in  $1/\alpha^2 - 1$  dimensions.

Otherwise 
$$N_{\alpha}^{\mathbb{C}}(r) \leq \frac{1+\alpha}{\alpha}r + O\left(\frac{1}{\alpha^3}\right)$$
.

### Future directions for research

- Unit vectors corresponding to equiangular lines are equivalently spherical  $\{\alpha, -\alpha\}$ -codes. Extend methods to more general spherical L-codes.
- Determine  $N_{\alpha}^{\mathbb{C}}(r)$  up to a multiplicative constant.
- Generalize to other graph matrices (ex: Laplacian).
- Generalize to equiangular subspaces.
- Generalize to signed graphs and unitarily-signed graphs.

