

# EQUIANGULAR LINES AND SUBSPACES IN EUCLIDEAN SPACES 

By: Igor Balla

Joint work with: Felix Dräxler, Peter Keevash, Benny Sudakov

Definition: A set of lines passing through the origin is called equiangular, if every pair of lines make the same angle.

Definition: A set of lines passing through the origin is called equiangular, if every pair of lines make the same angle.

Not an example!


Definition: A set of lines passing through the origin is called equiangular, if every pair of lines make the same angle.

Not an example!


Question: What is the maximum number of equiangular lines in $\mathbb{R}^{d}$ ?

Definition: A set of lines passing through the origin is called equiangular, if every pair of lines make the same angle.

Not an example!


Question: What is the maximum number of equiangular lines in $\mathbb{R}^{d}$ ?

For $d=2,3$ Greeks?

Definition: A set of lines passing through the origin is called equiangular, if every pair of lines make the same angle.

Not an example!


Question: What is the maximum number of equiangular lines in $\mathbb{R}^{d}$ ?

Earliest work:
Haantjes, Seidel 47-48
For $d=2,3$ Greeks?
Blumenthal 49
Van Lint, Seidel 66
Lemmens, Seidel 73

Examples


Examples
$d=2:$


## Examples



## Examples



In general, d-simplex gives $\mathrm{d}+1$ lines:


## Examples



## Examples



## Examples



## Examples



Theorem (Gerzon 73): The number of equiangular lines in $\mathbb{R}^{d}$ is at most $\binom{d+1}{2}$.

Theorem (Gerzon 73): The number of equiangular lines in $\mathbb{R}^{d}$ is at most $\binom{d+1}{2}$.
Theorem (de Caen '00 / Jedwab, Wiebe '15 / Greaves, et al. '15): There exist $\Omega\left(d^{2}\right)$ equiangular lines in $\mathbb{R}^{d}$.

Theorem (Gerzon 73): The number of equiangular lines in $\mathbb{R}^{d}$ is at most $\binom{d+1}{2}$.
Theorem (de Caen '00 / Jedwab, Wiebe '15 / Greaves, et al. '15): There exist $\Omega\left(d^{2}\right)$ equiangular lines in $\mathbb{R}^{d}$.
Remark: These constructions all have an $\alpha=\Theta\left(\frac{1}{\sqrt{d}}\right) \rightarrow 0$.

Theorem (Gerzon 73): The number of equiangular lines in $\mathbb{R}^{d}$ is at most $\binom{d+1}{2}$.
Theorem (de Caen '00 / Jedwab, Wiebe '15 / Greaves, et al. '15): There exist $\Omega\left(d^{2}\right)$ equiangular lines in $\mathbb{R}^{d}$.
Remark: These constructions all have an $\alpha=\Theta\left(\frac{1}{\sqrt{d}}\right) \rightarrow 0$.
Question (Lemmens, Seidel 73):
What if the angle is fixed and $d$ tends to infinity?

Theorem (Gerzon 73): The number of equiangular lines in $\mathbb{R}^{d}$ is at most $\binom{d+1}{2}$.
Theorem (de Caen '00 / Jedwab, Wiebe '15 / Greaves, et al. '15): There exist $\Omega\left(d^{2}\right)$ equiangular lines in $\mathbb{R}^{d}$.
Remark: These constructions all have an $\alpha=\Theta\left(\frac{1}{\sqrt{d}}\right) \rightarrow 0$.
Question (Lemmens, Seidel 73):
What if the angle is fixed and $d$ tends to infinity?
Theorem (Bukh '15): For fixed $\alpha$ and sufficiently large $d$, there are at most $2^{O\left(\alpha^{-2}\right)} d$ equiangular lines.

Theorem (Gerzon 73): The number of equiangular lines in $\mathbb{R}^{d}$ is at most $\binom{d+1}{2}$.
Theorem (de Caen '00 / Jedwab, Wiebe '15 / Greaves, et al. '15): There exist $\Omega\left(d^{2}\right)$ equiangular lines in $\mathbb{R}^{d}$.
Remark: These constructions all have an $\alpha=\Theta\left(\frac{1}{\sqrt{d}}\right) \rightarrow 0$.
Question (Lemmens, Seidel 73):
What if the angle is fixed and $d$ tends to infinity?
Theorem (Bukh '15): For fixed $\alpha$ and sufficiently large $d$, there are at most $2^{O\left(\alpha^{-2}\right)} d$ equiangular lines.

Theorem (B., Dräxler, Keevash, Sudakov): For fixed $\alpha$ and sufficiently large $d$, the maximum number of equiangular lines in $\mathbb{R}^{d}$ is

$$
\left\{\begin{array}{l}
=2 d-2 \text { if } \alpha \text { is } 1 / 3 \\
\leq 1.93 d \text { otherwise. }
\end{array}\right.
$$

Ideas behind the upper bound

## Ideas behind the upper bound

Definition: Call the edge $\left\{x_{i}, x_{j}\right\}$ red if $x_{i} \cdot x_{j}=+\alpha$ and call it blue if $x_{i} \cdot x_{j}=-\alpha$. So we get a red-blue edge colored complete graph $G$ on $n$ vertices.

## Ideas behind the upper bound

Definition: Call the edge $\left\{x_{i}, x_{j}\right\}$ red if $x_{i} \cdot x_{j}=+\alpha$ and call it blue if $x_{i} \cdot x_{j}=-\alpha$. So we get a red-blue edge colored complete graph $G$ on $n$ vertices.

Lemma: For $\beta>0$, if $x_{1}, \ldots, x_{n} \in \mathbb{R}^{d}$ are unit vectors with $x_{i} \cdot x_{j} \leq-\beta$, then $n \leq 1 / \beta+1$.

## Ideas behind the upper bound

Definition: Call the edge $\left\{x_{i}, x_{j}\right\}$ red if $x_{i} \cdot x_{j}=+\alpha$ and call it blue if $x_{i} \cdot x_{j}=-\alpha$. So we get a red-blue edge colored complete graph $G$ on $n$ vertices.

Lemma: For $\beta>0$, if $x_{1}, \ldots, x_{n} \in \mathbb{R}^{d}$ are unit vectors with $x_{i} \cdot x_{j} \leq-\beta$, then $n \leq 1 / \beta+1$.
Proof: $0 \leq\left\|\sum_{i=1}^{n} x_{i}\right\|^{2} \leq n-n(n-1) \beta$.

## Ideas behind the upper bound

Definition: Call the edge $\left\{x_{i}, x_{j}\right\}$ red if $x_{i} \cdot x_{j}=+\alpha$ and call it blue if $x_{i} \cdot x_{j}=-\alpha$. So we get a red-blue edge colored complete graph $G$ on $n$ vertices.

Lemma: For $\beta>0$, if $x_{1}, \ldots, x_{n} \in \mathbb{R}^{d}$ are unit vectors with $x_{i} \cdot x_{j} \leq-\beta$, then $n \leq 1 / \beta+1$.
Proof: $0 \leq\left\|\sum_{i=1}^{n} x_{i}\right\|^{2} \leq n-n(n-1) \beta$.

So our graph has no blue clique of size larger than $1 / \alpha+1$.

## Ideas behind the upper bound

Definition: Call the edge $\left\{x_{i}, x_{j}\right\}$ red if $x_{i} \cdot x_{j}=+\alpha$ and call it blue if $x_{i} \cdot x_{j}=-\alpha$. So we get a red-blue edge colored complete graph $G$ on $n$ vertices.

Lemma: For $\beta>0$, if $x_{1}, \ldots, x_{n} \in \mathbb{R}^{d}$ are unit vectors with $x_{i} \cdot x_{j} \leq-\beta$, then $n \leq 1 / \beta+1$.
Proof: $0 \leq\left\|\sum_{i=1}^{n} x_{i}\right\|^{2} \leq n-n(n-1) \beta$.

So our graph has no blue clique of size larger than $1 / \alpha+1$.

Thus by Ramsey's theorem it has a large red clique $Y$ !


Most of the remaining vertices $X$ connect to $Y$ entirely via red edges.


Most of the remaining vertices $X$ connect to $Y$ entirely via red edges.

Now project the vectors of $X$ onto the orthogonal complement of the span of the vectors of $Y$.


Most of the remaining vertices $X$ connect to $Y$ entirely via red edges.

Now project the vectors of $X$ onto the orthogonal complement of the span of the vectors of $Y$.

Dot products become


$$
\alpha \rightarrow \epsilon
$$

$$
-\alpha \rightarrow \frac{-2 \alpha}{1-\alpha}(1-\epsilon)+\epsilon
$$

Most of the remaining vertices $X$ connect to $Y$ entirely via red edges.

Now project the vectors of $X$ onto the orthogonal complement of the span of the vectors of $Y$.


$$
\begin{aligned}
\alpha & \rightarrow \epsilon \\
-\alpha & \rightarrow \frac{-2 \alpha}{1-\alpha}(1-\epsilon)+\epsilon
\end{aligned}
$$

Dot products become
Now consider the Gram matrix $M$ of these new vectors.

Most of the remaining vertices $X$ connect to $Y$ entirely via red edges.

Now project the vectors of $X$ onto the orthogonal complement of the span of the vectors of $Y$.


$$
\begin{aligned}
\alpha & \rightarrow \epsilon \\
-\alpha & \rightarrow \frac{-2 \alpha}{1-\alpha}(1-\epsilon)+\epsilon
\end{aligned}
$$

Dot products become
Now consider the Gram matrix $M$ of these new vectors. Most of the dot products are $\epsilon$, so $M$ is "close" to the identity.

Most of the remaining vertices $X$ connect to $Y$ entirely via red edges.

Now project the vectors of $X$ onto the orthogonal complement of the span of the vectors of $Y$.


$$
\begin{aligned}
\alpha & \rightarrow \epsilon \\
-\alpha & \rightarrow \frac{-2 \alpha}{1-\alpha}(1-\epsilon)+\epsilon
\end{aligned}
$$

Dot products become
Now consider the Gram matrix $M$ of these new vectors.
Most of the dot products are $\epsilon$, so $M$ is "close" to the identity. Lemma (Schnirelmann 30 / Bellman 60 / Alon '09... ):
For any symmetric matrix $M$ with rank $d, \operatorname{tr}(M)^{2} \leq d \operatorname{tr}\left(M^{2}\right)$.

Equiangular subspaces

## Equiangular subspaces

Question: How do we define the angle between subspaces
$U$ and $V$ ?

## Equiangular subspaces

Question: How do we define the angle between subspaces
$U$ and $V$ ?
Naive idea: Take the minimum angle between any pair of vectors $u \in U, v \in V$.

## Equiangular subspaces

Question: How do we define the angle between subspaces $U$ and $V$ ?
Naive idea: Take the minimum angle between any pair of vectors $u \in U, v \in V$.

Doesn't appeal to elementary geometric intuition!


## Equiangular subspaces

Question: How do we define the angle between subspaces $U$ and $V$ ?

Naive idea: Take the minimum angle between any pair of vectors $u \in U, v \in V$.

Doesn't appeal to elementary geometric intuition!


We represent a $k$-dimensional subspace of $\mathbb{R}^{n}$ by an $n \times k$ matrix $U$ whose columns form an orthonormal basis for the subspace.

## Equiangular subspaces

Question: How do we define the angle between subspaces $U$ and $V$ ?
Naive idea: Take the minimum angle between any pair of vectors $u \in U, v \in V$.

Doesn't appeal to elementary geometric intuition!


We represent a $k$-dimensional subspace of $\mathbb{R}^{n}$ by an $n \times k$ matrix $U$ whose columns form an orthonormal basis for the subspace.
Full answer: There are $k$ principal angles $0 \leq \theta_{1} \leq \ldots \theta_{k} \leq \pi / 2$ between $U$ and $V$, defined by $\theta_{i}=\arccos \sqrt{\lambda_{i}}$ where $1 \geq \lambda_{1} \geq \ldots \geq \lambda_{k} \geq 0$ are the eigenvalues of $V^{T} U U^{T} V$.

Theorem (Blokhuis '93): There are no more than $\binom{2 d+3}{4}$ 'equiangular' planes in $\mathbb{R}^{d}$ (each pair of planes has the same $\theta_{1}>0$.)

Theorem (Blokhuis '93): There are no more than $\binom{2 d+3}{4}$ 'equiangular' planes in $\mathbb{R}^{d}$ (each pair of planes has the same $\theta_{1}>0$.)

Definition: We call a set of subspaces $H$ equiangular with angle $\theta$, if $\theta$ is a principal angle between any $U, V \in H$.

Theorem (Blokhuis '93):
There are no more than $\binom{2 d+3}{4}$ 'equiangular' planes in $\mathbb{R}^{d}$ (each pair of planes has the same $\theta_{1}>0$.)

Definition: We call a set of subspaces $H$ equiangular with angle $\theta$, if $\theta$ is a principal angle between any $U, V \in H$.

Theorem (B., Sudakov):
For any $\theta>0$, there are no more than $\binom{\binom{d+1}{2}+k-1}{k}$
$k$-dimensional subspaces in $\mathbb{R}^{n}$ that are equiangular with angle $\theta$.

Theorem (B., Sudakov):
For any $\theta>0$, there are no more than
$k$-dimensional subspaces in $\mathbb{R}^{n}$ that are equiangular with angle $\theta$.

Theorem (B., Sudakov):
For any $\theta>0$, there are no more than

$$
\binom{\binom{d+1}{2}+k-1}{k}
$$

$k$-dimensional subspaces in $\mathbb{R}^{n}$ that are equiangular with angle $\theta$.
Proof: Let $U_{1}, \ldots, U_{n}$ be the matrices for the given subspaces.
Then $\operatorname{det}\left(U_{i}^{\top} U_{j} U_{j}^{\top} U_{i}-\lambda I\right)=0$ for $\lambda=(\cos \theta)^{2}$.

Theorem (B., Sudakov):
For any $\theta>0$, there are no more than

$$
\binom{\binom{d+1}{2}+k-1}{k}
$$

$k$-dimensional subspaces in $\mathbb{R}^{n}$ that are equiangular with angle $\theta$.
Proof: Let $U_{1}, \ldots, U_{n}$ be the matrices for the given subspaces.
Then $\operatorname{det}\left(U_{i}^{\top} U_{j} U_{j}^{\top} U_{i}-\lambda I\right)=0$ for $\lambda=(\cos \theta)^{2}$.
Let $\mathbb{S}^{n}=\left\{S \in \mathbb{R}^{n \times n}: S^{\boldsymbol{\top}}=S\right\}$ be the set of real symmetric matrices.

Theorem (B., Sudakov):
For any $\theta>0$, there are no more than

$$
\binom{\binom{d+1}{2}+k-1}{k}
$$

$k$-dimensional subspaces in $\mathbb{R}^{n}$ that are equiangular with angle $\theta$.
Proof: Let $U_{1}, \ldots, U_{n}$ be the matrices for the given subspaces.
Then $\operatorname{det}\left(U_{i}^{\top} U_{j} U_{j}^{\top} U_{i}-\lambda I\right)=0$ for $\lambda=(\cos \theta)^{2}$.
Let $\mathbb{S}^{n}=\left\{S \in \mathbb{R}^{n \times n}: S^{\top}=S\right\}$ be the set of real symmetric matrices.
Define $f_{i}: \mathbb{S}^{n} \rightarrow \mathbb{R}$ by $f_{i}(S)=\operatorname{det}\left(U_{i}^{\top} S U_{i}-\frac{\lambda}{k} \operatorname{tr}(S)\right)$

Theorem (B., Sudakov):
For any $\theta>0$, there are no more than

$$
\binom{\binom{d+1}{2}+k-1}{k}
$$

$k$-dimensional subspaces in $\mathbb{R}^{n}$ that are equiangular with angle $\theta$.
Proof: Let $U_{1}, \ldots, U_{n}$ be the matrices for the given subspaces.
Then $\operatorname{det}\left(U_{i}^{\top} U_{j} U_{j}^{\top} U_{i}-\lambda I\right)=0$ for $\lambda=(\cos \theta)^{2}$.
Let $\mathbb{S}^{n}=\left\{S \in \mathbb{R}^{n \times n}: S^{\top}=S\right\}$ be the set of real symmetric matrices.
Define $f_{i}: \mathbb{S}^{n} \rightarrow \mathbb{R}$ by $f_{i}(S)=\operatorname{det}\left(U_{i}^{\top} S U_{i}-\frac{\lambda}{k} \operatorname{tr}(S)\right)$
Note that they are all homogeneous polynomials of degree $k$ in the variables $S_{a, b}: 1 \leq a \leq b \leq d$.

Theorem (B., Sudakov):
For any $\theta>0$, there are no more than

$$
\binom{\binom{d+1}{2}+k-1}{k}
$$

$k$-dimensional subspaces in $\mathbb{R}^{n}$ that are equiangular with angle $\theta$.
Proof: Let $U_{1}, \ldots, U_{n}$ be the matrices for the given subspaces.
Then $\operatorname{det}\left(U_{i}^{\top} U_{j} U_{j}^{\top} U_{i}-\lambda I\right)=0$ for $\lambda=(\cos \theta)^{2}$.
Let $\mathbb{S}^{n}=\left\{S \in \mathbb{R}^{n \times n}: S^{\top}=S\right\}$ be the set of real symmetric matrices.
Define $f_{i}: \mathbb{S}^{n} \rightarrow \mathbb{R}$ by $f_{i}(S)=\operatorname{det}\left(U_{i}^{\top} S U_{i}-\frac{\lambda}{k} \operatorname{tr}(S)\right)$
Note that they are all homogeneous polynomials of degree $k$ in the variables $S_{a, b}: 1 \leq a \leq b \leq d$.

Now observe that

$$
f_{i}\left(U_{j} U_{j}^{\top}\right)=\operatorname{det}\left(U_{i}^{\top} U_{j} U_{j}^{\top} U_{i}-\lambda I\right)= \begin{cases}0 & i \neq j \\ (1-\lambda)^{k} & i=j\end{cases}
$$

Theorem (B., Sudakov):
For any $\theta>0$, there are no more than

$$
\binom{\binom{d+1}{2}+k-1}{k}
$$

$k$-dimensional subspaces in $\mathbb{R}^{n}$ that are equiangular with angle $\theta$.
Proof: Let $U_{1}, \ldots, U_{n}$ be the matrices for the given subspaces.
Then $\operatorname{det}\left(U_{i}^{\top} U_{j} U_{j}^{\top} U_{i}-\lambda I\right)=0$ for $\lambda=(\cos \theta)^{2}$.
Let $\mathbb{S}^{n}=\left\{S \in \mathbb{R}^{n \times n}: S^{\top}=S\right\}$ be the set of real symmetric matrices.
Define $f_{i}: \mathbb{S}^{n} \rightarrow \mathbb{R}$ by $f_{i}(S)=\operatorname{det}\left(U_{i}^{\top} S U_{i}-\frac{\lambda}{k} \operatorname{tr}(S)\right)$
Note that they are all homogeneous polynomials of degree $k$ in the variables $S_{a, b}: 1 \leq a \leq b \leq d$.

Now observe that

$$
f_{i}\left(U_{j} U_{j}^{\top}\right)=\operatorname{det}\left(U_{i}^{\top} U_{j} U_{j}^{\top} U_{i}-\lambda I\right)= \begin{cases}0 & i \neq j \\ (1-\lambda)^{k} & i=j\end{cases}
$$

and hence $f_{1}, \ldots, f_{n}$ are linearly independent.

Question: Let $\alpha$ be fixed and $d$ be large. What is the maximum number of equiangular lines in $\mathbb{R}^{d}$ with a given angle $\alpha$ ?

Question: Let $\alpha$ be fixed and $d$ be large. What is the maximum number of equiangular lines in $\mathbb{R}^{d}$ with a given angle $\alpha$ ?

Conjecture:
If $\alpha=\frac{1}{2 r+1}$ for integer $r$, then the maximum number of equiangular lines is $\left(1+\frac{1}{r}+o(1)\right) d$.

Question: Let $\alpha$ be fixed and $d$ be large. What is the maximum number of equiangular lines in $\mathbb{R}^{d}$ with a given angle $\alpha$ ?

Conjecture:
If $\alpha=\frac{1}{2 r+1}$ for integer $r$, then the maximum number of equiangular lines is $\left(1+\frac{1}{r}+o(1)\right) d$.

## DONE

